

SEARCH REQUEST FORM

Scientific and Technical Information Center

Requester's Full Name: Charles Kyle Examiner #: 72313 Date: 4/24/02
 Art Unit: 3624 Phone Number 5-4458 Serial Number: 091 434645
 Mail Box and Bldg/Room Location: PK2 Results Format Preferred (circle): PAPER DISK E-MAIL
5B50

If more than one search is submitted, please prioritize searches in order of need.

 Please provide a detailed statement of the search topic, and describe as specifically as possible the subject matter to be searched. Include the elected species or structures, keywords, synonyms, acronyms, and registry numbers, and combine with the concept or utility of the invention. Define any terms that may have a special meaning. Give examples or relevant citations, authors, etc, if known. Please attach a copy of the cover sheet, pertinent claims, and abstract.

Title of Invention: Method, System & Computer Program for Auditing Financial Plan
 Inventors (please provide full names): David B. Loeper

Earliest Priority Filing Date: 11/5/99

For Sequence Searches Only Please include all pertinent information (parent, child, divisional, or issued patent numbers) along with the appropriate serial number.

I need information on a method of evaluating the value of a particular financial plan by applying real historical ~~marked~~ financial data to the plan for multiple years to see how the plan would have done if the investment were made at the beginning historical date. For example, I have \$1000 to invest. I simulate the return of that \$1000 from, say, 1932-1933. I take that result at the end of 1933 and see how the result investment amount would have performed in 1933-1934. This can be done for successive years to get an overall view of how the investment would have grown given those historical conditions.

STAFF USE ONLY

Searcher: David H. Hous
 Searcher Phone #: 308 7794
 Searcher Location: PK2 1B33
 Date Searcher Picked Up: 5-6-02
 Date Completed: 5-6-02
 Searcher Prep & Review Time: 65
 Clerical Prep Time: _____
 Online Time: 273

Type of Search

NA Sequence (#) _____
 AA Sequence (#) _____
 Structure (#) _____
 Bibliographic _____
 Litigation ✓
 Fulltext ✓
 Patent Family _____
 Other _____

Vendors and cost where applicable

STN _____
 Dialog _____
 Questel/Orbit \$1475 %
 Dr.Link _____
 Lexis/Nexis _____
 Sequence Systems _____
 WWW/Internet _____
 Other (specify) _____

* Method, System or computer program.

Examiner Kyle:

Attached please find your search results re:
Method for auditing financial plans... serial #09434645.

Please let me know if you would like to try a different strategy
or additional terminology in a refocused search.

David Holloway
308-7794

Set	Items	Description
S1	823356	(STOCK? ? OR SECURIT? OR COMMODIT? OR BOND? OR INVESTMENT? OR PORTFOLIO? OR FUND? OR FINANCIAL() (PLAN? ? OR INSTRUMENT?) -) (3N) (FORECAST? OR MODEL? OR EXTRAPOLAT? OR SIMULAT? OR PLAN - OR PLANNING OR PREDICT? OR PROJECT?)
S2	15774	S1(5N) (PREVIOUS? OR PAST? OR HISTORICAL? OR OLDER? OR EARLIER? OR LAST() YEAR? OR OLD)
S3	8776	S2(S) (INTERVAL? OR (LENGTH? OR DURATION? OR FRAME?) (2N) TIME? OR YEAR? OR MONTH?)
S4	1425	S3(S) (NEXT? OR FOLLOWING? OR AGAIN? OR REPEAT? OR ITERAT? - OR REITERAT? OR FUTURE?)
S5	556	S4(S) (RETURN? OR INCOME? OR YIELD? OR PROFIT? OR ADVANCE? - OR INCREASE? OR PERFORM?)
S6	545	S5(S) (RETURN? OR YIELD? OR INCREASE? OR DECREASE? OR GAIN? OR LOSS? OR INCOME? OR PROFIT? OR PERFORM?)
S7	90	S6(S) (SOFTWARE? OR PROGRAM? OR COMPUTERI? OR AUTOMATE? OR - CYBER? OR VIRTUAL?)
S8	65	RD (unique items)
S9	40	S8 NOT PY>1999
S10	39	S9 NOT PD=19991105:20001105
S11	39	S10 NOT PD=20001105:20020509
File	15:ABI/Inform(R)	1971-2002/May 04 (c) 2002 ProQuest Info&Learning
File	9:Business & Industry(R)	Jul/1994-2002/May 01 (c) 2002 Resp. DB Svcs.
File	810:Business Wire	1986-1999/Feb 28 (c) 1999 Business Wire
File	813:PR Newswire	1987-1999/Apr 30 (c) 1999 PR Newswire Association Inc
File	275:Gale Group Computer DB(TM)	1983-2002/May 03 (c) 2002 The Gale Group
File	624:McGraw-Hill Publications	1985-2002/May 03 (c) 2002 McGraw-Hill Co. Inc
File	621:Gale Group New Prod. Annou. (R)	1985-2002/May 02 (c) 2002 The Gale Group
File	16:Gale Group PROMT(R)	1990-2002/May 03 (c) 2002 The Gale Group
File	160:Gale Group PROMT(R)	1972-1989 (c) 1999 The Gale Group
File	610:Business Wire	1999-2002/May 06 (c) 2002 Business Wire.
File	613:PR Newswire	1999-2002/May 06 (c) 2002 PR Newswire Association Inc
File	148:Gale Group Trade & Industry DB	1976-2002/May 03 (c) 2002 The Gale Group
File	20:Dialog Global Reporter	1997-2002/May 06 (c) 2002 The Dialog Corp.
File	476:Financial Times Fulltext	1982-2002/May 06 (c) 2002 Financial Times Ltd
File	634:San Jose Mercury	Jun 1985-2002/May 02 (c) 2002 San Jose Mercury News
File	95:TEME-Technology & Management	1989-2002/APR W2 (c) 2002 FIZ TECHNIK

Set	Items	Description
S1	1547600	STOCK? OR SECURIT? OR COMMODIT? OR BOND? OR INVESTMENT? OR PORTFOLIO? OR FUND? OR FINANCIAL() (SYSTEM OR PLAN? ? OR INSTRUMENT?)
S2	4410376	FORECAST? OR MODEL? OR EXTRAPOLAT? OR RECREAT? OR SIMULAT? OR PLAN OR PLANNING OR PREDICT? OR PROJECT?
S3	901392	PREVIOUS? OR PAST? OR HISTORICAL? OR OLDER?
S4	1652749	INTERVAL? OR (LENGTH? OR DURATION? OR FRAME?) (2N)TIME? OR - YEAR? OR MONTH?
S5	1759954	NEXT? OR FOLLOWING? OR AGAIN? OR REPEAT? OR ITERAT? OR REITERAT? OR FUTURE?
S6	2962	S1 AND S2 AND S3 AND S4 AND S5
S7	9528	S1 AND S2 AND S3 AND S4
S8	3555410	RETURN? OR INCOME? OR YIELD? OR PROFIT? OR ADVANCE? OR INCREASE? OR PERFORM?
S9	1469273	ADVISE? OR ADVICE? OR RECOMMEND? OR TEST?
S10	9994	S1(5N)S2(5N)S8
S11	90	S10(S)S3(S)S4(S)S5
S12	14	S11 AND (SOFTWARE? OR PROGRAM? OR COMPUTERI? OR AUTOMATE? - OR ELECTRONIC? OR DIGITAL? OR VIRTUAL? OR CYBER?)
S13	102	S6 AND S8 AND S9 AND (SOFTWARE? OR PROGRAM? OR COMPUTERI? - OR AUTOMATE? OR ELECTRONIC? OR DIGITAL? OR VIRTUAL? OR CYBER?)
S14	7	S13 AND S10
S15	97416	S1(5N)S2
S16	21	S13 AND S15
S17	11	S11 AND S9
S18	189	S10(5N)S3
S19	48	S18(5N) (S4 OR S5)
S20	8	S19 AND S9
S21	50	S12 OR S14 OR S16 OR S17 OR S20
S22	49	RD (unique items)
S23	42	S22 NOT PY>1999
File	77:	Conference Papers Index 1973-2002/Mar (c) 2002 Cambridge Sci Abs
File	35:	Dissertation Abs Online 1861-2002/Apr (c) 2002 ProQuest Info&Learning
File	583:	Gale Group Globalbase(TM) 1986-2002/May 03 (c) 2002 The Gale Group
File	2:	INSPEC 1969-2002/Apr W4 (c) 2002 Institution of Electrical Engineers
File	65:	Inside Conferences 1993-2002/Apr W4 (c) 2002 BLDSC all rts. reserv.
File	233:	Internet & Personal Comp. Abs. 1981-2002/Apr (c) 2002 Info. Today Inc.
File	99:	Wilson Appl. Sci & Tech Abs 1983-2002/Mar (c) 2002 The HW Wilson Co.
File	474:	New York Times Abs 1969-2002/May 02 (c) 2002 The New York Times
File	475:	Wall Street Journal Abs 1973-2002/May 02 (c) 2002 The New York Times

Set	Items	Description
S1	628743	STOCK? OR BOND? OR INVESTMENT? OR PORTFOLIO? OR FUND? OR FINANCIAL() (SYSTEM OR PLAN? ? OR INSTRUMENT?)
S2	958049	FORECAST? OR MODEL? OR RECREAT? OR SIMULAT? OR PREDICT? OR PROJECT?
S3	412870	PREVIOUS? OR PAST? OR HISTORICAL? OR OLDER?
S4	383748	INTERVAL? OR (LENGTH? OR DURATION? OR FRAME?) (2N)TIME? OR - YEAR? OR MONTH?
S5	1720406	NEXT? OR FOLLOWING? OR AGAIN? OR REPEAT? OR ITERAT? OR REITERAT? OR FUTURE?
S6	21	S1 AND S2 AND S3 AND S4 AND S5
S7	57	S1 AND S2 AND S3 AND S4
S8	8	S7 AND IC=G06F-017?
S9	26	S6 OR S8
S10	15	S9 AND IC=G06F?
S11	15	IDPAT (sorted in duplicate/non-duplicate order)
S12	15	IDPAT (primary/non-duplicate records only)
File 344:CHINESE PATENTS ABS APR 1985-2002/MAR		
(c) 2002 EUROPEAN PATENT OFFICE		
File 347:JAPIO Oct/1976-2001/Dec(Updated 020401)		
(c) 2002 JPO & JAPIO		
File 350:Derwent WPIX 1963-2001/UD,UM &UP=200227		
(c) 2002 Thomson Derwent		

Set	Items	Description
S1	441639	STOCK? OR SECURIT? OR COMMODIT? OR BOND? OR INVESTMENT? OR PORTFOLIO? OR FUND? OR FINANCIAL() (SYSTEM OR PLAN? ? OR INSTRUMENT?)
S2	450573	FORECAST? OR MODEL? OR EXTRAPOLAT? OR RECREAT? OR SIMULAT? OR PREDICT? OR PROJECT?
S3	578270	PREVIOUS? OR PAST? OR HISTORICAL? OR OLDER?
S4	429287	INTERVAL? OR (LENGTH? OR DURATION? OR FRAME?) (2N)TIME? OR - YEAR? OR MONTH?
S5	957378	NEXT? OR FOLLOWING? OR AGAIN? OR REPEAT? OR ITERAT? OR REITERAT? OR FUTURE?
S6	452	S1(S)S2(S)S3(S)S4
S7	327	S1(S)S2(S)S3(S)S4(S)S5
S8	5789	S1(5N)S2
S9	155	S8(5N) (RETURN? OR PERFORMANC? OR INCOME?)
S10	25	S9 AND (S6 OR S7)
S11	24	S10 AND IC=G06F?
S12	72	S7 AND S8
S13	25	S12 AND IC=G06F-017/60
S14	40	S11 OR S13
S15	40	IDPAT (sorted in duplicate/non-duplicate order)
S16	40	IDPAT (primary/non-duplicate records only)

File 348:EUROPEAN PATENTS 1978-2002/APR W04
(c) 2002 European Patent Office

File 349:PCT FULLTEXT 1983-2002/UB=20020425,UT=20020418
(c) 2002 WIPO/Univentio

Set	Items	Description
S1	7	AU="LOEPER D B":AU="LOEPER D J"
S2	3	S1 AND IC=G06F?
File 344:		CHINESE PATENTS ABS APR 1985-2002/MAR (c) 2002 EUROPEAN PATENT OFFICE
File 347:		JAPIO Oct/1976-2001/Dec(Updated 020401) (c) 2002 JPO & JAPIO
File 350:		Derwent WPIX 1963-2001/UD,UM &UP=200227 (c) 2002 Thomson Derwent

S1 6 AU="LOEPER, DAVID B"
S2 6 S1 AND IC=G06F?
S3 6 IDPAT (sorted in duplicate/non-duplicate order)
S4 3 IDPAT (primary/non-duplicate records only)
File 348:EUROPEAN PATENTS 1978-2002/APR W03
(c) 2002 European Patent Office
File 349:PCT FULLTEXT 1983-2002/UB=20020425,UT=20020418
(c) 2002 WIPO/Univentio

Set	Items	Description
S1	15382	STOCK? OR SECURIT? OR COMMODIT? OR BOND? OR INVESTMENT? OR PORTFOLIO? OR FUND? OR FINANCIAL() (SYSTEM OR PLAN? ? OR INSTRUMENT?)
S2	24518	FORECAST? OR MODEL? OR EXTRAPOLAT? OR RECREAT? OR SIMULAT? OR PLAN OR PLANNING OR PREDICT? OR PROJECT?
S3	6375	PREVIOUS? OR PAST? OR HISTORICAL? OR OLDER?
S4	10085	INTERVAL? OR (LENGTH? OR DURATION? OR FRAME?) (2N)TIME? OR - YEAR? OR MONTH?
S5	12237	NEXT? OR FOLLOWING? OR AGAIN? OR REPEAT? OR ITERAT? OR REITERAT? OR FUTURE?
S6	25	S1 AND S2 AND S3 AND S4 AND S5
S7	90	S1 AND S2 AND S3 AND S4
S8	25649	RETURN? OR INCOME? OR YIELD? OR PROFIT? OR ADVANCE? OR INC- REASE? OR PERFORM?
S9	51	S7 AND S8
S10	600	S1(3N)S2
S11	5	S9 AND S10
S12	28	S6 OR S11
S13	17	S12 NOT PY>1999
S14	17	S13 NOT PD>19991105

File 256:SoftBase:Reviews,Companies&Prods. 85-2002/Apr
(c)2002 Info.Sources Inc

Set	Items	Description
S1	49392	(STOCK? ? OR SECURIT? OR COMMODIT? OR BOND? OR INVESTMENT? OR PORTFOLIO? OR FUND? OR FINANCIAL() (PLAN? ? OR INSTRUMENT?) -) (3N) (FORECAST? OR MODEL? OR EXTRAPOLAT? OR SIMULAT? OR PLAN - OR PLANNING OR PREDICT? OR PROJECT?)
S2	865	S1(5N) (PREVIOUS? OR PAST? OR HISTORICAL? OR OLDER? OR EARL- IER? OR LAST() YEAR? OR OLD)
S3	423	S2(S) (INTERVAL? OR (LENGTH? OR DURATION? OR FRAME?) (2N) TIM- E? OR YEAR? OR MONTH?)
S4	91	S3(S) (NEXT? OR FOLLOWING? OR AGAIN? OR REPEAT? OR ITERAT? - OR REITERAT? OR FUTURE?)
S5	57	S4(S) (RETURN? OR INCOME? OR YIELD? OR PROFIT? OR ADVANCE? - OR INCREASE? OR PERFORM?)
S6	52	RD (unique items)
S7	33	S6 NOT PY>1999
S8	32	S7 NOT PD=19991105:20001105
File 625:American Banker Publications 1981-2002/May 02 (c) 2002 American Banker		
File 268:Banking Info Source 1981-2002/Apr W4 (c) 2002 ProQuest Info&Learning		
File 626:Bond Buyer Full Text 1981-2002/Apr 30 (c) 2002 Bond Buyer		
File 267:Finance & Banking Newsletters 2002/May 01 (c) 2002 The Dialog Corp.		
File 576:Aberdeen American News 1995-2002/May 03 (c) 2002 American News		